

**CAPTIVE FILING REQUIREMENTS FOR
AGENCY, INDUSTRIAL, SPONSORED AND SPECIAL PURPOSE CAPTIVE
INSURANCE COMPANIES (OTHER THAN SERIES LLCs)**

REQUIRED FILINGS	DUE DATE
I. NAIC ANNUAL STATEMENT	
Annual Statement	4/15
Quarterly Annual Statement (8 ½" x 14") (if Applicable)	5/15, 8/15, 11/15
II. AUDITED FINANCIAL STATEMENTS	
Audited Financial Statements	6/30
Letter of Qualifications	6/30
Report of Significant Deficiencies in Internal Controls	6/30
IV. STATEMENT OF ACTUARIAL OPINION	
NAIC P&C Actuarial Opinion	6/30
IV. OTHER REQUIRED FILINGS	
License Renewal Fees	4/15
Premium Tax	4/15

SEE PAGE 2 FOR DETAILS

	NOTES AND INSTRUCTIONS	
A	Copies	<p>Emailed pdf scans of all documents contained in the filing or mailed pdf scans on CD or flash drive of all documents in the filing. The scanned documents need to arrive by the due date, but the original pages may be mailed thereafter.</p> <p>We do not require original CPA and actuary reports.</p>
B	Required Filings Contact Person:	<p>Annual Statement - captive@delaware.gov (302) 577-5280 Premium Taxes DOI-CaptiveTax@delaware.gov Paulette Morris Paulette.Morris@delaware.gov (302) 674-7383</p>
C	Mailing Address:	<p>Delaware Department of Insurance Captive Insurance Bureau 503 Carr Road, Suite 303 Wilmington, DE 19809</p>
D	Mailing Address for Premium Tax Payments and License Renewal Fees:	<p>Delaware Department of Insurance Attn: Premium Tax Section 1351 West North Street, Suite 101 Dover, DE 19904</p>
E	Delivery Instructions:	<p>All filings must be postmarked or emailed no later than the date due. If the due date falls on a weekend or holiday, then the deadline is extended to the next business day.</p>
F	Late Filings:	<p>Company's license may be suspended for refusal or failure to submit an annual report per Del. C. §6909a.</p>
G	Signature/Notarization/Certification:	<p>Two executive officers or other authorized persons.</p>
H	Amended Filings:	<p>File within 10 days of Amendment with explanation for the original filing, same should be followed for any amendment.</p>
I	Exceptions from normal filings:	<p>Apply at least 30 days prior to due date with written explanation. This letter should not be in the business plan or presented with the application.</p>